

The University of New Mexico  
Board of Regents' Audit and Compliance Committee  
*May 23, 2019 – 9:00 AM*  
*Roberts Room*  
**Agenda**

**ACTION ITEMS**

1. Confirmation of a Quorum and Adoption of Agenda
2. Approval of Meeting Minutes from April 11, 2019
3. Audit and Compliance Committee Meeting dates for Calendar Year 2019. The following proposed meeting dates are being presented for Committee approval. The Committee meets in the Roberts Room. This schedule accommodates exit conference for the FY19 External Financial Statements Audit.

August 15, 2019

October 17, 2019 (Exit Conference for FY19 External Audit)

**INFORMATION ITEMS**

4. Advisors' Comments
5. Fiscal Year 2019 Financial Statements External Audit, Entrance Conference (*Moss Adams, KPMG, and Elizabeth Metzger, University Controller*)
6. Director of Internal Audit Status Report (*Victor Griego, Interim Internal Audit Director*)
7. Main Campus Chief Compliance Officer Status Report (*Francie Cordova, Interim Chief Compliance Officer; and Rob Burford, Director of Compliance*)
8. Health Sciences Center Chief Compliance Officer Status Report (*Arthur Culpepper, HSC Chief Compliance Officer*)
9. Status of Audit Recommendations (*Victor Griego, Interim Internal Audit Director*)  
    Implemented  
    Pending

**EXECUTIVE SESSION**

10. Vote to close the meeting and to proceed in Executive Session as follows:
  - a. Discussion of draft Internal Audit Reports, and discussions of information subject to attorney-client privilege pursuant RPM 1.2;
  - b. Discussion of limited personnel matters pursuant to exception at Section 10-15-1.H(2) NMSA (1978);
  - c. Schedule of Audits in Process and FY19 Audit Work plan, pursuant to RPM 1.2;
  - d. Vote to re-open the meeting.
11. Certification that only those matters described in Agenda item #10 were discussed in Executive Session and if necessary ratification of action, if any, taken in Executive Session.
12. Adjournment